

**NONPROVISIONAL PATENT  
APPLICATION TRANSMITTAL RULE §1.53(b)  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

10/10/01  
U.S. PTO  
11000 U.S. PTO  
10/09/972950  
10/10/01

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Docket No. 107292-00027

Date: October 10, 2001

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is a nonprovisional patent application:

For (Title): OPTICAL RECORDING MEDIUM AND METHOD

By (Inventors): SYUJI TSUKAMOTO, (Tokyo, Japan).

- 39 pages of Specification/Claims 1-16/Abstract are attached.
- Formal drawings (Figs. 1-10; 9 sheets are attached.)
- A Declaration and Power of Attorney is attached.
- An assignment of the invention to TDK CORPORATION is attached, along with Form PTO-1595 and a check for \$40.00.
- An Information Disclosure Statement is attached, along with Form PTO-1449, and \_\_\_\_\_ reference(s).
- This application is entitled to Small Entity Status.
- A Preliminary Amendment is attached.
- Please amend the specification by inserting before the first line the sentence --This nonprovisional application claims the benefit of U.S. Provisional Application No. \_\_\_\_\_, filed \_\_\_\_\_.
- Priority of foreign application No. 2000-309332 filed October 10, 2000 in Japan is claimed under 35 U.S.C. §119.
- A certified copy of the above corresponding foreign application is attached.

The filing fee is calculated below and includes claim status after entry of any Preliminary Amendment noted above:

FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	16 - 20	= 0
INDEP CLAIMS	4 - 3	= 1
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS		

**SMALL ENTITY**

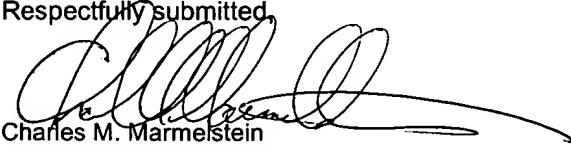
RATE	FEES
	\$ 370
x 9 =	\$
x 42 =	\$
+140 =	\$
TOTAL	\$

**LARGE ENTITY**

RATE	FEES
	\$ 740
x 18 =	\$
x 84 =	\$ 84
+280 =	\$
TOTAL	\$ 824

- A check in the amount of 864.00 (824.00 for the filing fee and 40.00 for the Assignment Recordation Fee) is attached. Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300.

Respectfully submitted,



Charles M. Marmelstein  
Registration No. 25,895

CMM/ars

TECH/44883.1